

# Robot-assisted Therapy in Stroke Rehabilitation

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Research into rehabilitation robotics has grown rapidly and the number of therapeutic rehabilitation robots has expanded dramatically during the last two decades. Robotic rehabilitation therapy can deliver high-dosage and high-intensity training, making it useful for patients with motor disorders caused by stroke or spinal cord disease. Robotic devices used for motor rehabilitation include end-effector and exoskeleton types; herein, we review the clinical use of both types. One application of robot-assisted therapy is improvement of gait function in patients with stroke. Both end-effector and the exoskeleton devices have proven to be effective complements to conventional physiotherapy in patients with subacute stroke, but there is no clear evidence that robotic gait training is superior to conventional physiotherapy in patients with chronic stroke or when delivered alone. In another application, upper limb motor function training in patients recovering from stroke, robot-assisted therapy was comparable or superior to conventional therapy in patients with subacute stroke. With end-effector devices, the intensity of therapy was the most important determinant of upper limb motor recovery. However, there is insufficient evidence for the use of exoskeleton devices for upper limb motor function in patients with stroke. For rehabilitation of hand motor function, either end-effector and exoskeleton devices showed similar or additive effects relative to conventional therapy in patients with chronic stroke. The present evidence supports the use of robot-assisted therapy for improving motor function in stroke patients as an additional therapeutic intervention in combination with the conventional rehabilitation therapies. Nevertheless, there will be substantial opportunities for technical development in near future.

**Keywords** Stroke; Robot-assisted therapy; Motor disorder; Rehabilitation

## Introduction

Stroke is a common, serious, and disabling health-care problem throughout the world.<sup>1</sup> In particular, in Korea, which is very rapidly changing into an "Aging Society," the incidence of stroke has increased, albeit gradually, during the last few decades.<sup>2</sup> On the other hand, the mortality rate from stroke has declined over time,<sup>2</sup> resulting in an increased prevalence of stroke in Korea.

Unfortunately, one third of stroke survivors achieve only a poor functional outcome five years after the onset of stroke.<sup>3</sup> Therefore, stroke-related problems are a serious burden to both patients and their families.<sup>4</sup> Although great advances have been made in acute stroke management, the majority of post-stroke care to reduce patients' dependency relies on rehabilitation treatments.

Neuroplasticity is the basic mechanism underlying improve-

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ment in functional outcome after stroke.<sup>5</sup> Therefore, one important goal of rehabilitation of stroke patients is the effective use of neuroplasticity for functional recovery. Other principles of stroke rehabilitation are goal setting, high-intensity practice, multidisciplinary team care, and task-specific training.<sup>1</sup> Therefore, high-dose intensive training<sup>6</sup> and repetitive practice of specific functional tasks<sup>7</sup> are important for recovery after stroke. These requirements make stroke rehabilitation a labor-intensive process.

Robotic technology has developed remarkably in recent years, with faster and more powerful computers and new computational approaches as well as greater sophistication of electro-mechanical components.<sup>8</sup> This advancement in technology has made robotics available for rehabilitation intervention. A robot is defined as a re-programmable, multi-functional manipulator designed to move material, parts, or specialized devices through variable programmed motions to accomplish a task.<sup>9</sup> The most important advantage of using robot technology in rehabilitation intervention is the ability to deliver high-dosage and high-intensity training.<sup>10</sup> This property makes robotic therapy a promising novel technology for rehabilitation of patients with motor disorders caused by stroke or spinal cord disease. Research into rehabilitation robotics has been growing rapidly, and the number of therapeutic rehabilitation robots has increased dramatically during the last two decades.<sup>11</sup>

Rehabilitation robots can be divided into therapeutic and assistive robots. The purpose of assistive robots is compensation, whereas therapeutic robots provide task-specific training.<sup>12</sup> In this manuscript, the authors will focus on the usefulness of therapeutic robots in patients with stroke. The types of robotic devices used for motor training are end-effector-type devices

and exoskeleton-type devices (Figure 1).<sup>13</sup> End-effector devices work by applying mechanical forces to the distal segments of limbs. End-effector type robots offer the advantage of easy set-up but suffer from limited control of the proximal joints of the limb, which could result in abnormal movement patterns. In contrast, exoskeleton-type robotic devices have robot axes aligned with the anatomical axes of the wearer. These robots provide direct control of individual joints, which can minimize abnormal posture or movement. Their construction is more complex and more expensive than that of the end-effector type. In this manuscript, the authors will summarize the recent research concerning both the end-effector and exoskeleton types of robot devices. We will also discuss the current status of robot-assisted therapy in stroke rehabilitation.

## Robot-assisted therapy for gait function

### End-effector-type robotic devices

Seven randomized controlled trials that compared robot-assisted therapy that uses end-effector-type devices with conventional therapies for improving gait function after stroke were selected for review (Table 1).<sup>14-20</sup>

Two studies conducted in patients with chronic stroke reported comparable effects on gait function between the robot-assisted therapy and conventional gait training.<sup>14,17</sup> These results indicate that the robot-assisted therapy with end-effector-type devices cannot replace conventional therapy in patients with chronic stroke. However, the other five trials, which enrolled patients with subacute stroke, demonstrated that robot-assisted therapy in combination with conventional physiotherapy produced greater improvement in gait function than conventional



**Figure 1.** Examples of robotic devices for motor training (A) End-effector type (InMotion 2.0 Interactive Motion Technologies, Watertown, MA, USA), (B) Exoskeleton type (Armeo®, Hocoma, Switzerland).

**Table 1.** Robot-assisted therapy for gait function

Authors	Robotic device	Number of participants	Stroke stage	Intensity	Concomitant therapy	Summary of results in comparison with conventional therapies
<i>End-effector-type devices</i>						
Werner et al., 2002	Gait trainer	30	Subacute	20 minutes, 5 times per week for 4 weeks	No	No difference
Peurala et al., 2005	Gait trainer	45	Chronic	20 minutes, 5 times per week for 3 weeks	No	No difference
Tong et al., 2006	Gait trainer	54	Subacute	20 minutes, 5 times per week for 4 weeks	Yes	More effective
Dias et al., 2007	Gait trainer	40	Chronic	40 minutes, 5 times per week for 4 weeks	No	No difference
Pohl et al., 2007	Gait trainer	155	Subacute	20 minutes, 5 times per week for 4 weeks	No	More effective
Peurala et al., 2009	Gait trainer	56	Subacute	20 minutes, 5 times per week for 3 weeks	Yes	More effective
Morone et al., 2011	Gait trainer	48	Subacute	20 minutes, 5 times per week for 4 weeks	No	More effective
<i>Exoskeleton devices</i>						
Mayr et al., 2007	Lokomat	16	Subacute	30 minutes, 5 times per week for 4.5 weeks	No	More effective
Husemann et al., 2007	Lokomat	32	Subacute	30 minutes, 5 times per week for 4 weeks	Yes	More effective
Hornby et al., 2008	Lokomat	62	Chronic	30 minutes, 12 sessions total	No	Less effective
Jung et al., 2008	Lokomat	25	Chronic	30 minutes, 3 times per week for 4 weeks	Yes	More effective
Hidler et al., 2009	Lokomat	72	Subacute	1 hour, 12 sessions total	No	Less effective
Westlake & Patten, 2009	Lokomat	16	Chronic	30 minutes, 3 times per week for 4 weeks	Yes	More effective
Schwartz et al., 2010	Lokomat	67	Subacute	30 minutes, 3 times per week for 6 weeks	Yes	More effective
Chang et al., 2012	Lokomat	37	Subacute	40 minutes, 5 times per week for 2 weeks	Yes	No difference

gait training alone.<sup>15,16,18-20</sup> This means that the addition of robot-assisted therapy with end-effector-type devices to conventional physiotherapy can be recommended for use in patients with subacute stroke.

### Exoskeleton-type robot devices

Eight randomized controlled trials that investigated the use of robot-assisted therapy with exoskeleton devices for improvement of gait function in patients with stroke were selected for review (Table 1).<sup>21-28</sup> Two studies from 2007 reported superior results from robot-assisted therapy with exoskeleton devices in comparison with conventional physiotherapy.<sup>24,26</sup> Both trials recruited relatively small numbers of patients. The first was a pilot study in patients with subacute stroke.<sup>24</sup> Then, in 2008, Hornby et al.<sup>23</sup> performed a randomized controlled study comparing the effects of robot-assisted gait training that uses exoskeleton devices and manual facilitation that uses an assist-as-needed paradigm on gait function in patients with chronic stroke. Their results demonstrated that therapist-assisted training yields greater improvements in walking ability in ambulatory stroke survivors than does a similar dosage of robot-assisted training. Hidler et al.<sup>22</sup> also investigated the usefulness of robot-assisted therapy in patients with subacute stroke in a multicenter randomized trial. They concluded that the diversity of conventional gait training interventions appeared to be more effective than robot-assisted gait training for improving walking ability. Therefore, these two reports agreed that at similar training intensities, conventional therapy is more effective than robot-assisted therapy with exoskeleton devices for recovery of gait function after stroke. However, other reports documented similar or superior effects of ro-

bot-assisted therapy in combination with conventional physiotherapy versus conventional therapy alone on gait recovery, especially in patients with subacute stroke.<sup>21,27</sup> In 2009, a study by Schwartz et al.<sup>49</sup> with a larger number of participants concluded that locomotor therapy by using robot devices in combination with regular physiotherapy produced promising effects on gait function in patients with subacute stroke in comparison with regular physiotherapy alone.<sup>27</sup> Therefore, robot-assisted therapy with exoskeleton devices may not be able to replace conventional physiotherapy for improving gait function in patients with stroke but rather is recommended for use in combination with conventional physiotherapy, preferably in the subacute stage of stroke. However, there is insufficient research on the additional effect of robot-assisted therapy on gait function in the chronic stage of stroke.

### Robot-assisted therapy for upper limb and hand motor function

#### End-effector-type robotic devices

Fourteen randomized controlled trials comparing robot-assisted therapy that use end-effector-type devices with conventional therapies for improvement of upper limb motor function after stroke were selected for review (Table 2).<sup>29-41</sup> The meta-analysis in a 2012 Cochrane review demonstrated that robot-assisted arm training improved upper limb function (standardized mean difference 0.45; 95% confidence interval (CI), 0.20 to 0.69;  $P = 0.0004$ ).<sup>42</sup> However, more detailed analysis is needed to develop guidelines for individual stroke rehabilitation. A study by Fasoli et al.<sup>32</sup> comprising 56 patients with subacute

**Table 2.** Robot-assisted therapy for upper limb motor function

Authors	Robotic device	Number of participants	Stroke stage	Intensity	Concomitant therapy	Summary of results in comparison with conventional therapies	
						Upper limb function	Activities of daily living
<i>End-effector-type devices</i>							
Lum et al., 2002	MIME	27	Chronic	55 minutes, 24 sessions total over 8 weeks	No	No difference	Not assessed
Fasoli et al., 2004	MIT-MANUS	56	Subacute	1 hour, 5 times per week for 5 weeks	No	More effective	More effective
Hesse et al., 2005	Bi-Manu-Track	44	Subacute	30 minutes, 5 times per week for 6 weeks	Yes	More effective	No difference
Daly et al., 2005	InMotion	12	Chronic	5 hours per day, 5 days per week for 12 weeks	Yes	No difference	Not assessed
Lum et al., 2006	MIME	30	Subacute	1 hour, 15 sessions over 4 weeks	No	No difference	No difference
Masiero et al., 2007	NeReBot	35	Subacute	4 hours per week for 5 weeks	Yes	No difference	More effective
Volpe et al., 2008	InMotion2	21	Chronic	1 hour, 3 times per week for 6 weeks	No	No difference	No difference
Lo et al., 2010	MIT-MANUS	127	Chronic	a maximum of 36 sessions over 12 weeks	No	More effective	Not assessed
Burgar et al., 2011	MIME	54	Subacute	1 hour, 5 times per week for 3 weeks	No	No difference	No difference
Conroy et al., 2011	InMotion 2.0 Shoulder/Arm Robot	57	Chronic	1 hour, 3 sessions per week for 6 weeks	No	No difference	More effective
Liao et al., 2011	Bi-Manu-Track	20	Chronic	90 to 105 minutes, 5 days per week for 4 weeks	Yes	No difference	More effective
Masiero et al., 2011	NeReBot	21	Chronic	Twice a day for 20 minutes, 5 days per week for 5 weeks	Yes	No difference	No difference
Hsieh et al., 2012	Bi-Manu-Track	54	Chronic	High intensity: 20 sessions for 90 to 105 minutes, 5 days per week for 4 weeks Low intensity: same amount assessed, but only half of the number of repetitions	Yes	High: More effective Low: No difference	No difference
Wu et al., 2013	Bi-Manu-Track	42	Chronic	90 to 105 minutes, 5 days per week for 4 weeks	Yes	More effective	Not assessed
<i>Exoskeleton devices</i>							
Kahn et al., 2006	ARM-Guide	19	Chronic	45 minutes, 24 sessions over 8 weeks	No	No difference	Not assessed
Fazekas et al., 2007	REHA ROB	15	Chronic	30 minutes, 20 consecutive work days (5 weeks)	Yes	More effective	Less effective
Mayr et al., 2008	ARMOR	8	Chronic	5 times per week for 6 weeks	No	No difference	Not assessed
Houseman et al., 2009	T-WREX	28	Chronic	30 minutes, 5 times per week for 8-9 weeks	No	No difference	No difference

stroke reported that patients who received conventional therapy alone showed little improvement, whereas patients who received robotic training plus conventional therapy continued to improve in the latter half of the inpatient rehabilitation period. This means that robot-assisted therapy is effective for improving upper limb motor function in patients with subacute stroke. A study by Lo et al.<sup>36</sup> that recruited 127 chronic stroke patients reported that robot-assisted therapy and conventional therapy produced similar amounts of improvement after 12 weeks of treatment. However, after 36 weeks of therapy, the robot-assisted therapy achieved greater motor improvement than did conventional therapy. A study in patients with chronic stroke by Hsieh et al.<sup>34</sup> also found significantly greater improvement in upper limb motor function in the higher-intensity robot-assisted training group than in the control treatment group. In contrast, upper limb motor recovery did not differ significantly between the lower-intensity training group and the control group. These findings suggest that the intensity is the most important

parameter of robot-assisted therapy for upper limb motor recovery in patients with chronic stroke.

Nine of the 14 randomized controlled trials that examined robot-assisted therapy with end-effector-type devices assessed the influence of robot-assisted training on activities of daily living (ADL) in patients with stroke.<sup>29,30,32-35,38-41</sup> These nine reports demonstrated that robot-assisted training yielded similar or better effects on ADL in comparison with conventional therapy. The 2012 Cochrane review meta-analysis demonstrated that robot-assisted arm training improved ADL performance (SMD, 0.43; 95% CI, 0.11 to 0.75;  $P=0.009$ ).<sup>42</sup> In addition, studies in patients with subacute stroke suggested that patients who received additional robotic therapy showed greater improvements in ADL.<sup>32,40</sup> However, trials in patients with chronic stroke demonstrated no additional improvement in ADL over conventional therapy.<sup>34</sup> In summary, robot-assisted therapy for upper limb motor function provides an additional effect on ADL function only in patients with subacute stroke. Further



**Table 3.** Robot-assisted therapy for hand motor function

Authors	Robotic device	Number of participants	Stroke stage	Intensity	Concomitant therapy	Summary of results in comparison with conventional therapies
<i>End-effector-type devices</i>						
Fischer et al., 2007	Cable orthosis/ Pneumatic orthosis	15	Chronic	1 hour, 3 times per week for 6 weeks	No	No difference
Connelly et al., 2010	PneuGlove	14	Not described	1 hour, 3 times per week for 6 weeks	No	No difference
Hwang et al., 2012	Amadeo	17	Chronic	20 minutes, 20 sessions total over 4 weeks	No	More effective
<i>Exoskeleton devices</i>						
Takahashi et al., 2008	HAWARD	13	Chronic	1.5 hours, 5 times per week for 3 weeks	No	More effective
Kutner et al., 2010	Hand Mentor	17	Chronic	60 hours over 3 weeks	Yes	No difference

studies may be needed to draw a definite conclusion about the effect of robot-assisted training on ADL in patients with chronic stroke.

Three randomized controlled trials concerning hand motor function in patients with stroke were selected for review (Table 3).<sup>43-45</sup> All three studies showed similar or superior effects of robot-assisted training in comparison with conventional therapy on hand motor function in patients with stroke. Hwang et al.<sup>45</sup> demonstrated that robot-assisted therapy provided dose-dependent improvement in hand function. However, all three trials were single-center studies with relatively small numbers of participants, all in the chronic stage of stroke, and there was no randomized controlled trial that included subacute stroke patients as participants. Furthermore, there was no assessment of ADL function after robot-assisted therapy for hand motor function. Therefore, these results suggest that robot-assisted therapy with end-effector devices may yield similar or greater improvement in hand motor function in patients with chronic stroke, but there is insufficient research to support an effect in patients with subacute stroke. Therefore, well-designed studies are needed to draw clear conclusions regarding the effect of robot-assisted therapy that use end-effector-type devices on improvement of the hand motor function of patients in both the subacute and chronic stages of stroke.

### Exoskeleton-type robot devices

Four randomized controlled trials of robot-assisted therapy with exoskeleton devices for improvement of upper limb motor function after stroke were selected for review (Table 2).<sup>46-49</sup> All 4 trials were performed in patients in the chronic stage of stroke. Among them, one study reported a significantly better effect on spasticity in the robot-assisted therapy group than in the conventional therapy group.<sup>46</sup> In contrast, ADL function improved more markedly in the conventional therapy group that received the same amount of treatment. The other three reports demonstrated no significant difference between robot-assisted therapy with exoskeleton devices and conventional therapies.<sup>47-49</sup> In ad-

dition, there was no randomized controlled trial that investigated robot-assisted therapy with exoskeleton devices in patients with subacute stroke. Therefore, at this time there is insufficient evidence to draw a definite conclusion regarding the effect of robot-assisted therapy that uses exoskeleton devices on upper limb function in patients with stroke.

Two randomized controlled trials that examined robot-assisted therapy with exoskeleton devices for improving the hand motor function of patients with stroke were selected (Table 3).<sup>50,51</sup> Both studies showed similar or better results on hand motor function in comparison with conventional therapy. However, neither trial recruited patients in the subacute stage of stroke or assessed the effect of robot-assisted therapy on ADL function. In summary, robot-assisted therapy that uses exoskeleton devices may provide similar or additional benefits for hand motor function in comparison with conventional therapy in patients with chronic stroke, but there is insufficient evidence regarding the effect of robot-assisted therapy with exoskeleton devices on the hand motor function of patients in the subacute stage of stroke.

## Conclusions

Numerous recent studies have heralded the introduction of robotic devices into the field of stroke rehabilitation. Many reports have described the efficacy of robot-assisted therapy for improving motor and ambulatory function in patients with stroke. However, both ethical and methodological constraints hinder the design of double-blind randomized controlled studies of robot-assisted therapy in patients with stroke. Furthermore, there are only a few well-organized comprehensive reviews of robot-assisted therapy.<sup>13,42,52,53</sup> Meta-analysis of robot-assisted therapy is very difficult because of the heterogeneity of the robotic devices and the participants' characteristics as well as the diversity of the study designs in the literature. Therefore, it is important to consider expert opinion as well as research data in order to draw the best conclusions. In this review, we

made an effort to analyze the effects of different types of robotic devices on upper limb and hand motor function as well as gait function. In summary, the role of robot-assisted therapy in stroke rehabilitation is currently an adjunct to rather than a replacement for conventional rehabilitation therapy. Well-designed studies with large numbers of participants that demonstrate superior efficacy for motor recovery will be necessary to establish robot-assisted therapy as an integral part of stroke rehabilitation. Analysis of the economic impact as well as the functional benefits of robot-assisted therapy is also needed. Robot-assisted therapy for stroke rehabilitation is in a dynamic phase of development and has achieved remarkable advances. Ongoing improvement of the robotic technology may enhance the efficacy and reduce the cost of such devices. Such advances will elevate robot-assisted therapy to a standard therapeutic modality in stroke rehabilitation.

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